



Attorney Docket No. D/A1320

2176<sup>#3</sup>  
04.12.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of James G. Shanahan et al.

Group Art Unit: 2176

Application No.: 09/683,238

Examiner: Not Yet Assigned

Filed: 12/05/2001

For: META-DOCUMENT MANAGEMENT SYSTEM WITH PERSONALITY

Commissioner for Patents  
Washington, D.C. 20231

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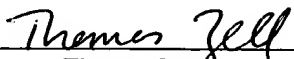
Sir:

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Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
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- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☒ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
\_\_\_\_\_  
Thomas Zell  
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Date 1/18/2002



Form PTO-1449 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A1320	APPLICATION NO. 09/683,238
	APPLICANT James G. Shanahan et al.		
	FILING DATE 12/05/01	GROUP ART UNIT 2176	

## U.S. PATENT DOCUMENTS

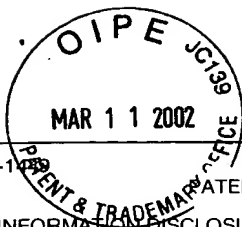
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	PCT	WO 00/67159 A2	11/09/00	Xerox Corporation	Y
	PCT	WO 01/31479 A1	05/03/01	Zapper Technologies Inc	Y

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EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Form PTO-1009 PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A1320	APPLICATION NO. 09/683,238
	APPLICANT James G. Shanahan et al.		
	FILING DATE 12/05/01	GROUP ART UNIT 2176	
OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)			
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Form PTO-144 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A1320	APPLICATION NO. 09/683,238
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